



Ana G. Méndez University

Financial Aid Office

Professional Judgement Procedure

Professional judgement is the authority of an institution's financial aid office, on a case-by-case basis, with sufficient documentation, to adjust elements on the students FAFSA or the Cost of Attendance (COA). Hence, adjusting their estimated family contribution (EFC) or their COA. The changes to the EFC must be submitted to the Department of Education, via the Central Processing System (CPS). EFC changes must be identified as such by selecting the "Requested EFC Adjustment" for professional judgement which will generate a new Institutional student information record (ISIR).

The reason for the adjustment must be documented and must relate to special circumstances, not to conditions that exist for an entire group of students. All inconsistent or conflicting information must be resolved prior to making any adjustments. A financial aid administrators' decision regarding professional judgement adjustments is final and cannot be appealed to the Department.

This procedure is intended to serve as a guide for the Financial Aid Officer to evaluate a situation on a case-by-case basis and make a professional judgment.

Among the conditions under the regulation, can include, but are not limited to:

- Death of a spouse
- Divorce or legal separation
- Recent unemployment of a family member
 - COVID 19 pandemic considered
- Override Cases for Dependency Status which include but are not limited to:
 - Deceased parent(s)
 - Parental incapacity (incarceration or mental or physical disability)
 - No contact with parents
 - Circumstances that can be verified with letters officially certified by counselors or teachers, government agencies, medical personnel, judicial agencies (Prison), church personnel, courts, or letters from third parties, such as neighbors.
- Other significant changes to a family's income, assets, or student's status

Procedure:

1. Students must complete their corresponding FAFSA application with the data requested for the specific year.
2. If the student is selected for verification, documentation must be submitted to complete the verification request.
3. The student explains their current situation and requests a professional judgment.



4. Based on the information presented by the student, the Financial Aid Officer evaluates to determine which kind of professional judgment will apply.
5. When the Financial Aid Officer determines the professional judgment to be made, the student will be advised of what documentation will be needed for review, for a determination to be made.

Documents needed for review, to determine if a professional judgement is applicable, vary on circumstances for which the professional adjustment is being requested. Documents can include but are not limited to:

For Professional judgements based on significant changes in income:

- Letter of dismissal or resignation
- Settlement letter (holidays or bonuses)
- Letter of unemployment income
- Letter of income received from PAN or TANF
- If student is currently employed, year to date income information from current employer
- Documentation of income received from 401 K withdrawals or pensions
- Disability or social security income documentation

For Cost of Attendance Professional Judgment based on Extraordinary Expenses or Income:

Expense:

- Documentation of medical diagnosis and invoices for payments issued
- Documentation or payments issued for the benefit of a household member.

Revenue:

- Inheritances- Evidence of the amount received
- Allowances-Amount received for years of service and evidence in which it was invested
- Overtime pays for an extraordinary event (hurricane, tremors, etc.)

When the Financial Aid administrator receives all required documentation for changes to the EFC, a correction will be made to the FAFSA record in the Central Processing System (CPS) system to either:

- a. Income
- b. Dependency status

Once the corrected FAFSA generates a new institutional student information record (ISIR) within three business days, the Financial Aid office will then review, package, and award the student's financial aid in the Anthology system.

When the Financial Aid administrator receives all required documentation for changes to the COA, after review of documents, changes will be made then the student will be packaged and aid awarded in the Anthology system.